



Concept Note

The Green Climate Fund (GCF) is seeking high-quality projects or programmes.

The Accredited Entity is encouraged to submit a concept note, in consultation with the National Designated Authority, to present a project or programme idea and receive early feedback and recommendation.

Project/Programme Title:	
, ,	
Country(ies):	
National Designated	
National Designated Authority(ies) (NDA):	
Accredited Entity(ies) (AE):	
Date of first submission/	0000/144/00/01
version number:	[YYYY-MM-DD] [V.0]
Date of current submission/	[YYYY-MM-DD] [V.0]
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GREEN CLIMATE FUND

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Notes

- The maximum number of pages should <u>not exceed 12 pages</u>, excluding annexes.
 Proposals exceeding the prescribed length will not be assessed within the indicative service standard time of 30 days.
- As per the Information Disclosure Policy, the concept note, and additional documents
 provided to the Secretariat can be disclosed unless marked by the Accredited Entity(ies)
 (or NDAs) as confidential.
- The relevant National Designated Authority(ies) will be informed by the Secretariat of the concept note upon receipt.
- NDA can also submit the concept note directly with or without an identified accredited
 entity at this stage. In this case, they can leave blank the section related to the accredited
 entity. The Secretariat will inform the accredited entity(ies) nominated by the NDA, if any.
- Accredited Entities and/or NDAs are encouraged to submit a Concept Note before making a request for project preparation support from the Project Preparation Facility (PPF).
- Further information on GCF concept note preparation can be found on GCF website Funding Projects Fine Print.



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A. Project / Programme Info	ormation (max. 1 page)			
A.1. Project or programme	□ Project □ Programme	A.2. Public or private sector	☐ Public sector☐ Private sector	
A.3. Is the CN submitted in response to an RFP?	Yes □ No □ If yes, specify the RFP:	A.4. Confidentiality ¹	☐ Confidential ☐ Not confidential	
A.5. Indicate the result areas for the project/programme	Mitigation: Reduced emissions from: □ Energy access and power go □ Low emission transport □ Buildings, cities and industrio □ Forestry and land use Adaptation: Increased resilience of: □ Most vulnerable people and □ Health and well-being, and fo □ Infrastructure and built enviro □ Ecosystem and ecosystem s			
A.6. Estimated mitigation impact (tCO2eq over lifespan)		A.7. Estimated adaptation impact (number of direct beneficiaries and % of population)		
A.8. Indicative total project cost (GCF + co-finance)	Amount: USD	A.9. Indicative GCF funding requested	Amount: USD	
A.10. Mark the type of financial instrument requested for the GCF funding	□ Grant □ Reimbursable grant □ Guarantees □ Equity □ Subordinated loan □ Senior Loan □ Other: specify			
A.11. Estimated duration of project/ programme:	a) disbursement period: b) repayment period, if applicable:	A.12. Estimated project/ Programme lifespan	This refers to the total period over which the investment is effective.	
A.13. Is funding from the Project Preparation Facility requested? ²	Yes □ No □ Other support received □ If so, by who:	A.14. ESS category ³	□ A or I-1 □ B or I-2 □ C or I-3	
A.15. Is the CN aligned with your accreditation standard?	Yes □ No □	A.16. Has the CN been shared with the NDA?	Yes □ No □	
A.17. AMA signed (if submitted by AE) Yes No If no, specify the status of AMA negotiations and expected date of signing:		A.18. Is the CN included in the Entity Work Programme?		
A.19. Project/Programme rationale, objectives and approach of	Brief summary of the problem statem implementation approach, including partners.			

¹ Concept notes (or sections of) not marked as confidential may be published in accordance with the Information Disclosure Policy (<u>Decision B.12/35</u>) and the Review of the Initial Proposal Approval Process (<u>Decision B.17/18</u>).

² See <u>here</u> for access to project preparation support request template and guidelines

³ Refer to the Fund's environmental and social safeguards (<u>Decision B.07/02</u>)



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programme/project (max 100 words)	

B. Project / Programme details (max. 8 pages)

B.1. Context and Baseline (max. 2 pages)

Describe the climate vulnerabilities and impacts, GHG emissions profile, and mitigation and adaptation needs that the prospective intervention is envisaged to address.

Please indicate how the project fits in with the country's national priorities and its full ownership of the concept. Is the project/programme directly contributing to the country's INDC/NDC or national climate strategies or other plans such as NAMAs, NAPs or equivalent? If so, please describe which priorities identified in these documents the proposed project is aiming to address and/or improve.

Describe the main root causes and barriers (social, gender, fiscal, regulatory, technological, financial, ecological, institutional, etc.) that need to be addressed.

Where relevant, and particularly for private sector project/programme, please describe the key characteristics and dynamics of the sector or market in which the project/programme will operate.

B.2. Project / Programme description (max. 3 pages)

Describe the expected set of components and activities to address the above barriers identified that will lead to the expected outcomes.

In terms of rationale, please describe the theory of change and provide information on how it serves to shift the development pathway toward a more low-emissions and/or climate resilient direction, in line with the Fund's goals and objectives.

Describe how activities in the proposal are consistent with national regulatory and legal framework, if applicable.

Describe in what way the Accredited Entity(ies) is well placed to undertake the planned activities and what will be the implementation arrangements with the executing entity(ies) and implementing partners.

Please provide a brief overview of the key financial and operational risks and any mitigation measures identified at this stage.

B.3. Expected project results aligned with the GCF investment criteria (max. 3 pages)

The GCF is directed to make a significant and ambitious contribution to the global efforts towards attaining the goals set by the international community to combat climate change, and promoting the paradigm shift towards low-emission and climate-resilient development pathways by limiting or reducing greenhouse gas emissions and adapting to the impacts of climate change.

Provide an estimate of the expected impacts aligned with the GCF investment criteria: paradigm shift, sustainable development, needs of recipients, country ownership, and efficiency and effectiveness.

C. Indicative financing / Cost information (max. 3 pages)

C.1. Financing by components (max ½ page)

Please provide an estimate of the total cost per component and disaggregate by source of financing.

Component Indicative cost		GCF financing		Co-financing			
	(USD)	Amount (USD)	Financial Instrument	Amount (USD)	Financial Instrument	Name of Institutions	
Indicative total cost (USD)							



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For private sector proposal, provide an overview (diagram) of the proposed financing structure.

C.2. Justification of GCF funding request (max 1 page)

Explain why the Project/ Programme requires GCF funding, i.e. explaining why this is not financed by the public and/ or private sector(s) of the country.

Describe alternative funding options for the same activities being proposed in the Concept Note, including an analysis of the barriers for the potential beneficiaries to access to finance and the constraints of public and private sources of funding.

Justify the rationale and level of concessionality of the GCF financial instrument(s) as well as how this will be passed on to the end-users and beneficiaries. Justify why this is the minimum required to make the investment viable and most efficient considering the incremental cost or risk premium of the Project/ Programme (refer to Decisions B.12/17; B.10/03; and B.09/04 for more details). The justification for grants and reimbursable grants is mandatory.

In the case of private sector proposal, concessional terms should be minimized and justified as per the Guiding principles applicable to the private sector operations (Decision B.05/07).

C.3. Sustainability and replicability of the project (exit strategy) (max. 1 page)

Please explain how the project/programme sustainability will be ensured in the long run and how this will be monitored, after the project/programme is implemented with support from the GCF and other sources.

For non-grant instruments, explain how the capital invested will be repaid and over what duration of time.

C.4 Engagement among the NDA, AE, and/or other relevant stakeholders in the country (max ½ page)

Please describe how engagement among the NDA, AE and/or other relevant stakeholders in the country has taken place and what further engagement will be undertaken as the concept is developed into a funding proposal.

D. Supporting documents submitted (OPTIONAL)

Map indicating the location of the project/programme
Diagram of the theory of change
Financial Model
Pre-feasibility Study
Evaluation Report of previous project

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Are you aware that the full Funding Proposal and Annexes will require these documents? Yes \(\simega \) No \(\simega \)

- Feasibility Study
- Environmental and social impact assessment or environmental and social management framework
- Stakeholder consultations at national and project level implementation including with indigenous people if relevant
- Gender assessment and action plan
- Operations and maintenance plan if relevant
- Loan or grant operation manual as appropriate
- Co-financing commitment letters



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Are you aware that a funding proposal from an accre	edited entity without a signed AMA will be reviewed but
not sent to the Board for consideration? Yes $\hfill\Box$	No □